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PATENT / DOCKET NO. 34003.28  
Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent of:

Chen et al.

Serial No. 10/044,317

Filed: January 11, 2002

For: SYSTEM AND METHOD FOR  
MANIPULATING NANOTUBES

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U.S. Patent No. 6,723,299

Issued: April 20, 2004

EXPRESS MAIL CERTIFICATE

Certificate

Mail Stop Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUN 28 2004

of Correction

Express Mail Number: EV 333443424 US

Date of Deposit: June 22, 2004

I hereby certify that the following attached papers and fee:

1. Request for Correction Under 35 USC 254 and 37 CFR § 1.322(a) and (b);
2. 5 sheets formal drawings;
3. Form PTO/SB/44; and
4. Return postcard

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JUL 08 2004  
TC 1700

are being deposited with United States Postal Service "Express Mail Post Office to Addressee"  
to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ellen Lovelace  
Typed or Printed Name

[Signature]  
Signature



PATENT / DOCKET NO. 34003.28  
CUSTOMER NO. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:	§	
Chen et al.	§	U.S. Patent No. 6,723,299
	§	
Serial No. 10/044,317	§	
	§	
Filed: January 11, 2002	§	Issued: April 20, 2004
	§	
For: SYSTEM AND METHOD FOR	§	
MANIPULATING NANOTUBES	§	

**REQUEST FOR CORRECTION**  
**UNDER 35 USC § 254 and 37 CFR § 1.322(a) and (b)**

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TC 1700

Mail Stop Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby request correction of the above-identified patent as set forth on the attached form PTO/SB/44.

The present request is filed pursuant to 35 USC § 254 and 37 CFR § 1.322(a) because the mistakes were incurred through the fault of the United States Patent and Trademark Office (the "USPTO").

The present request is also subject to 37 CFR § 1.322(b) because the nature of the mistake is such that a certificate of correction is inappropriate to correct the mistake, and a corrected patent should be issued in lieu thereof. Namely, the patent was mistakenly printed with informal drawings, rather than the formal drawings that were filed on April 26, 2002. Attached hereto as "Exhibit A" is a copy of the postcard receipt, which was filed with, *inter alia*, 5 pages of formal drawings. The postcard receipt specifically lists the formal drawings, and bears a receipt stamp of the USPTO of May 2, 2002.

In view of the postcard receipt, Applicants submit that the formal drawings were received by the USPTO, but were erroneously omitted from the issued patent. For the convenience of the

USPTO in issuing a corrected patent in this matter, included herewith is a duplicate set of formal drawings. Because the drawings include images produced by a transmission electron microscope, they are submitted as black and white photographs pursuant to 37 CFR 1.84 and MPEP §608.02, page 600-96.

In addition to the error with respect to the drawings, the patent also includes the following mistakes:

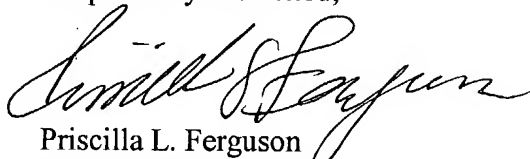
In the specification:

- Column 1, line 61, delete "Cd".
- Column 1, line 65, delete "an[]".
- Column 2, line 35, change "Journey" to -- Journet --.
- Column 2, line 35, change "Journey" to -- Journet --.
- Column 2, line 42, change "Journey" to -- Journet --.
- Column 3, line 19, delete "if".
- Column 5, line 62, delete "id".
- Column 6, line 8, change "γcyclodextrin" to -- γ-cyclodextrin --.
- Column 6, line 30, delete "If".
- Column 6, line 35, delete "If".
- Column 6, line 43, change "of:" to -- of --.
- Column 9, line 32, change "cyclodextrilnml" to -- cyclodextrin/ml --.
- Column 9, line 32, change "high-resolufion" to -- high-resolution --.
- Column 9, line 58, change "cyclodexus" to -- cyclodextrins --.
- Column 10, line 61, change "engage" to -- encage --.
- Column 11, line 44, change "engage" to -- encage --.
- Column 11, line 45, change "engage" to -- encage --.
- Column 11, line 48, change "engages" to -- encages --.
- Column 11, line 50, change "engages" to -- encages --.

No fees are believed to be necessary for consideration of the present request, as the mistakes were incurred through the fault of the Patent and Trademark Office. If, however, any

fees are required, I authorize the Commissioner to charge such fees to Haynes and Boone, LLP,  
Deposit Account No. 08-1394.

Respectfully submitted,



Priscilla L. Ferguson  
Registration No. 42,531

Date: June 22, 2004

HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone: 214-651-5662  
Facsimile: 214-200-0853  
File: 34003.28

Atty Docket No.: 50767/P011US/10102697

**Reference:**

**Inventor:** Jian Chen, et al.

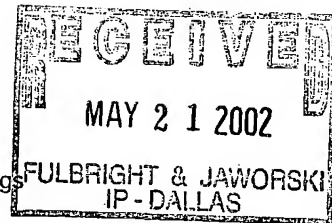
**Application No.:** 10/044,317

**Filing Date:** January 11, 2002

**Title:** SYSTEM AND METHOD FOR MANIPULATING NANOTUBES

**Documents Filed:**

Transmittal - 1 page  
Response to Notice to File Missing Parts - 1 page  
Copy of Notice to File Missing Parts - 1 page  
Fee Transmittal - 1 page/ Check \$1,362.00  
Declaration with ADS - 6 pages  
Power of Attorney - 1 page  
37 CFR 3.73(b) Statement with Assignment Copy - 11 pgs  
Formal Drawings - 5 sheets with 10 figures  
Information Disclosure Statement - 2 pages  
PTO Form SB/08 - 1 page  
15 Cited References  
Return Postcard



**Via:** First Class Mail **Date:** April 26, 2002

**Sender's Initials:** JCB/glm



EXHIBIT 'A'

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,723,299

DATED : April 20, 2004

INVENTOR(S) : Chen et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the drawings:

Replace informal Figures 1-6 with the attached formal versions of Figures 1-6.

In the specification:

Column 1, line 61, delete "Cd".  
 Column 1, line 65, delete "an[ ]".  
 Column 2, line 35, change "Journey" to -- Journet --.  
 Column 2, line 35, change "Journey" to -- Journet --.  
 Column 2, line 42, change "Journey" to -- Journet --.  
 Column 3, line 19, delete "if".  
 Column 5, line 62, delete "id".  
 Column 6, line 8, change "ycyclodextrin" to --  $\gamma$ -cyclodextrin --.  
 Column 6, line 30, delete "If".  
 Column 6, line 35, delete "If".  
 Column 6, line 43, change "of." to -- of --.  
 Column 9, line 32, change "cyclodextrinml" to -- cyclodextrin/ml --.  
 Column 9, line 32, change "high-resolufion" to -- high-resolution --.  
 Column 9, line 58, change "cyclodexus" to -- cyclodextrins --.  
 Column 10, line 61, change "engage" to -- encage --.  
 Column 11, line 44, change "engage" to -- encage --.  
 Column 11, line 45, change "engage" to -- encage --.  
 Column 11, line 48, change "engages" to -- encages --.  
 Column 11, line 50, change "engages" to -- encages --.

## MAILING ADDRESS OF SENDER:

HAYNES AND BOONE, LLP  
 901 Main Street, Suite 3100  
 Dallas, Texas 75202

PATENT NO. 6,723,299

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

28 JUN 2004